

# Climate Smart Irrigated Agriculture Project

Adopted from Agriculture Sector Modernization Project



Ministry of Irrigation and Water Resources & Disaster Management

Ministry of Local Government, Provincial Councils & Sports

Ministry of Public Administration, Management and Law & Order

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#### **List of Abbreviations**

DOA Department of Agriculture

DPMU Deputy Project Management Unit

EAMF Environmental Assessment and Management Framework

FFS Farmer Field School

FAO Food and Agriculture Organization

IPM Intergraded Pest Management

MoA Ministry of Agriculture

NGO Non-Governmental Organizations

OPR Office of Pesticide Registrar

OP/BP Operational Policy/Bank Policy

PG Producer Groups

PMP Pest Management Plan
PMU Project Management Unit

SCPPC Seed Certification and Plant Protection Service (SCPPC)

#### **Chapter 1: Introduction**

#### 1.1 Introduction to the proposed project

The Project Development Objective is to improve climate resilience of farming communities and productivity of irrigated agriculture in selected climatically vulnerable Hot-Spot Areas in Sri Lanka. This objective will be achieved through increased adaptation of climate-resilient agricultural practices and technologies, improved agricultural productivity, and increased access to markets in targeted smallholder farming communities. The project design is structured along four components:

Component 1: Promote Infrastructure Planning, Development and Management for Climate-Smart Agriculture (US\$ 70 million). The objective of this component is to implement adaptive and flexible plans in targeted Hot-Spots areas to (a) develop rehabilitate and modernize, the critical infrastructure needed to ensure improved efficiency to irrigation water and to (b) better manage this infrastructure to ensure adequate water storage and delivery, so that for agricultural production especially on small and marginal farms (e.g., less than 1 hectare) is more resilient to the impacts of increased climatic variability climate change.

This component accordingly focuses on: (i) developing climate smart Hot-Spot Areas (HSA) Development Plans for each selected Hot-Spot - through an iterative and inclusive planning process based on science-based information (e.g., appropriate hydrology, hydro-met systems and engineering) and Indigenous Technical Knowledge (ITK) detailing the infrastructure and funding requirements, and the management and coordination arrangements needed to implement the plans, that will be formulated (and nested) at various levels from the entire Hot-Spot area to the local level; (ii) providing technical assistance and gap funding (i.e., beyond existing government programs) for the planned investments across a range of potential activities (e.g., infrastructure to improve access to surface and ground water (including water harvesting and storage structures (tanks), drainage and flood control systems and dug wells and bore wells), catchment-level water management to improve the harvesting of surface water and the recharge of groundwater aquifers, watershed development to promote biomass production, improve soil fertility, augment in situ moisture conservation, and livestock and fisheries development, and improved roads to access off-farm water infrastructure and agricultural markets); and (iii) enhancing local capacities and putting in place the coordination necessary to plan, manage and maintain these systems in the future for improved climate-resilience of agricultural production. (Investments to enhance climate-resilient agricultural production on small and marginal farms will be identified through GND-level development plans to be developed under Component 2.)

**Sub-component 1.1: Development of Climate-Smart Hot-Spot Area Development Plans.** This sub-component will support the preparation of investment plans to develop and manage climate smart agriculture and irrigation systems, at the level of Hot-Spots (~50,000 ha), watersheds within the Hot Spot Areas (~5,000 ha) stand-alone irrigation systems and local administrative levels (GNDs). These nested climate smart hot spot areas development plans (CSHSADP) will detail all activities and investments to be undertaken in these areas (including the de-silting, repair, rehabilitation, modernization and development of individual and chains of cascading tanks, and their inflow and drainage channels; protection and management of tank catchments; micro-irrigation and irrigation for rain-fed cultivation areas; vegetative cover and biomass (re)generation; and the demonstration of improved and appropriate seeds, inputs and cropping practices) and also clarify the roles and responsibilities of various government and non-government actors responsible for the implementation of these plans (including adaptive and

flexible water management, based on anticipated or forecast rainfall, and the operations and maintenance (O&M) of each kind of infrastructure).

The key activities to be financed include: (i) hiring the services of facilitation teams for mobilizing (awareness-raising) and training of key stakeholders in participatory development planning, including the responsible government institutions and local communities, on the planning process and climate smart water management; (ii) technical assistance to support the hydrological modeling, the use of hydro-met systems, and the identification of locally-relevant adaptive water infrastructure and adaptive management strategies; and (iii) facilitation of a series of participatory planning meetings to solicit suggestions and insights based on ITK on potential actions, and to agree on a range of key planning issues including the development objectives for the Hot-Spot Areas, climate and weather-related risks to these development objectives, and the infrastructure investments and management strategies required to improve resilience to climate-related risks and thus achieve the agreed development objectives.

**Sub-component 1.2: Rehabilitation, Modernization and Development of Infrastructure for Climate-Resilience.** This sub-component will support (re-)design, rehabilitation, modernization, construction, and operation and maintenance (O&M) of a range of water infrastructure including (a) minor irrigation water bodies (tanks), water-harvesting structures, and inflow and distribution channels; (b) drainage and flood control systems; and (c) water harvesting structures upstream from the tanks to enhance water infiltration and storage, to enhance water storage, delivery and management, given different climate-based scenarios. This sub-component will also support the design, construction/rehabilitation, and O&M of rural roads needed to access minor irrigation infrastructure.

The key activities to be financed include: (i) the assessment of the condition and functioning of existing tanks (including the head works, foreshore, spillway, and sluice gates); inflow channels to and distribution channels from existing (minor irrigation) water bodies; and water harvesting structures (such as farm ponds, re-charge pits (infiltration pits), check dams (earthen/masonry), contour bunds, trenches, and stone bunds); (ii) technical assistance for a range of planned activities including the identifications of modifications necessary to the design and construction of water infrastructure, given likely drought and flood scenarios, the preparation of detailed architectural designs and work estimates, the review and quality assurance of civil works, and the promotion of appropriate species for biomass production; (iii) the rehabilitation, modernization, and development of water harvesting structures; (iv) the implementation of identified watershed management interventions (including the provision of incentives and technical assistance to private land owners to promote appropriate biomass production on private and public lands within Hot Spot Areas); (v) needs assessment, design and construction of climate smart roads needed to reach (minor) irrigation structures given likely climate change and weather-related risks; and (vi) assessment, design and construction of artificial re-charge well structures in foreshore of selected tanks for recharging ground water and for community purposes during severe drought seasons.

Sub-component 1.3: Capacity Enhancement and Coordination to Plan and Manage Climate-resilient Infrastructure: This sub-component aims to enhance capacities of key government and non-government actors involved, and to strengthen the institutional framework necessary to support and coordinate the various stakeholders across different agencies, in order to develop and deliver the HSA Development Planning process. The capacities to be built include (i) *facilitation skills* to conduct participatory community workshops and multi-stakeholder meetings; (ii) *technical competence* to understand and present hydrology-based information, assess the relevance and appropriateness of ITK, to generate an effective set of actions to enhance climate resilience; supervise, monitor, construct, handhold and trouble-shoot the rehabilitation, modernization and modification of water infrastructure; and (iii) *managerial abilities* to support the entire planning process, to design strategies, indicator-based triggers and procedures for the adaptive management of water infrastructure in response to climate variability, and to clarify roles and responsibilities of all actors involved.

The key activities to be financed include: (i) technical assistance for capacity needs assessments, capacity enhancement activities (including exposure visits, FiLDI¹ trainings, hands-on trainings); (ii) incremental operating costs for Farmers Organizations, Provincial Irrigation Department and Department of Agrarian Services stakeholders responsible for the on-going O&M of various irrigation infrastructures; (iii) a series of meetings and assessments to develop, pilot and evaluate the coordination mechanisms needed to support the HSA Development Plans; and (iv) technical assistance and incremental operating costs for other institutions (e.g., the Provincial Road Development Authority) for the O&M of climate-smart roads.

Component 2: Promote Climate-Smart Agriculture Production Systems and Value-Chains (US\$ 40 million). The objective of this component is to enhance climate resilience through *on-farm productivity growth and higher and more stable farmer incomes* by facilitating the adoption of CSA practices, diversification into more climate-resilient and higher value crops, efficient and effective on-farm water management, small-scale market infrastructure investments and the establishment of private sector linkages beyond the farm gate. This component is built on the results of catchment area treatment, rehabilitation, safety of dams, rehabilitation/reconstruction of irrigation schemes, improved availability of water at farm level and improved access road network implemented under Component 1.

This component will thus provide integrated end-to-end solutions in selected commodity value chains within selected Hot Spots Areas identified under Component 1 by strengthening the backward and forward linkages among value chain actors. Activities will target the actors adopting CSA practices involved in production, logistics, processing or marketing in the value chains (i.e. producer groups, farmer organizations, local service providers, traders, processors, and other private sector actors). Nutrition will be mainstreamed throughout the various component activities, e.g. by integrating nutrition considerations in development planning processes, extension materials, increased adherence to food safety standards, etc. In addition, the anticipated diversification away from staple crop production, and increased productivity and income levels of the targeted beneficiaries, would enable them to diversify their food intake, resulting in positive nutrition outcomes for these beneficiaries. This component will enhance climate resilience and be structured around 3 sub-components: (i) building individual and institutional capacity; (ii) development and financing of Business Plans (BPs); and (iii) development of critical market infrastructure.

#### Sub-component 2.1: Building Individual and Institutional Capacity

This subcomponent will finance activities to build institutional capacity to plan, implement, manage, and monitor the project investments in CSA at the provincial, district, and GND levels in all Hot Spot Areas. More specifically, this subcomponent will finance: (i) strengthening farmer community institutions (farmer organizations<sup>2</sup> (FOs), and producer groups (PGs)); and (ii) strengthening the capacity of GND level officials to deliver targeted climate resilience agricultural services.

At the cascade and tank level, the key activities to be financed include: (i) mobilizing FOs to meet and plan at the level of the tank or Cascade where relevant; (ii) subsequently facilitating the election of an

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<sup>&</sup>lt;sup>11</sup>Field-level Leadership Initiative (FiLDI)

<sup>&</sup>lt;sup>2</sup> As established by the Agrarian Development Act of 2000, the FO members include all producers (e.g., farmers, fishers, and livestock keepers regardless of gender or age) within the tank cascade system, including the catchment and command area, as well as any small and marginal producers relying on groundwater or rainfed agriculture in the cascade. FOs can register as corporate bodies, hold bank accounts, supply agricultural goods and services to their members, obtain loans, acquire property, and obtain deposits from members for the purpose of giving loans to members and/or performing FO duties. FOs also encourage the formation of small groups of producers of a single commodity (producer groups) among their members.

Executive Committee (chair, secretary, treasurer, and board members) and relevant sub-committees, such as the Social Audit Committee to provide oversight of FO activities; (iii) mobilizing Producer Groups (small groups of 20-30 producers of a single commodity) for key commodities within prioritized CSA value chains; and (iv) training FO and PG members on (a) organizational management, including topics such as leadership skills, group dynamics, decision making, problem solving, risk management, book keeping, meeting organization, and simple monitoring & evaluation techniques. Water infrastructure management training will be conducted under Component 1 for FO members. The detailed institutional arrangements for these activities will be included in the project implementation plan (PIP).

At the farmer community and at GND level, the key activities to be financed include: (i) technical assistance to carry out a detailed assessment (financial analysis, technical feasibility, sustainability analysis including climate impacts, gender and nutritional analysis) of the climate-smart technologies relevant to each tank and/or Cascade; (ii) technical assistance to develop and deliver commodity-specific training modules on climate-smart technologies to extension agents of the DOA, including on the requirements for adoption (e.g., finance, water availability, agro-climatic areas and soil types), benefits in terms of yields, as well as increased resilience (e.g., enhanced soil health, protection of the productive natural resources base, including water conservation) and mitigation (e.g. reduced energy use for pumping ground water, reduced GHG emissions), and implementation modalities for each practice and/or technology; (iii) operational costs associated with DOA, DAD and PID delivery of agronomic extension services to FOs and PGs through effective extension approaches (e.g. field demonstrations, training events, etc.), including the use of proven ICT technologies to facilitate adoption of climate-smart practices and technologies. A variety of media and ICT technologies will be used to facilitate access to advisory services outside regular visits from extension services, such as mobile phones, radio, and webbased platform, and attention will be devoted to training approaches targeted specifically to women and youth.

**Sub-component 2.2: Development and financing of Business Plans (BPs).** Sub-component will focus on BPs that not only contribute to building climate resilience and ensuring food security and nutrition for smallholder farmers and their households but also have significant potential for marketing and income generation.

This subcomponent aims to develop the project approach to promote climate smart agriculture, covering production practices (soil, water, and crop choice & management, etc.) and post-crop-harvest management (storage, value addition/processing, packaging, etc.). It will consolidate the linkages between Producer Groups (PGs) and Agri-Business Enterprises (ABEs), including micro, small and mid-size enterprises (MSMEs), traders, and Financial Institutions (FIs), by following a Productive Alliance (PA) approach to match producers' supply to the buyers' demand. This is expected to create an enabling environment for direct and sustainable commercial relations between producers and buyers of commodities of the priority value chains, and FIs. The PAs will be based on the following principles: (a) agreement on product quality and characteristics of the commodities to be produced; (b) quantity to be produced and bought; (c) delivery modalities: how the commodities will be delivered, when, and in what condition; (d) payment modalities and price determination criteria; and (e) the buyer's contribution, such as Technical Assistance (TA), specific inputs, and arrangements for input reimbursement when applicable.

The sub-component will also support the establishment of sustainable business relations between targeted PGs and Financial Institutions (FIs). To facilitate the involvement of FIs and the provision of adapted financial services, it will support capacity building of PGs in the area of preparation of commercially

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<sup>&</sup>lt;sup>3</sup>See World Bank (2016) Linking Farmers to Markets through Productive Alliances: An Assessment of the World Bank Experience in Latin America. Washington DC: The World Bank

viable business plans (BPs) for financing, as well as the provision of specialized assistance to FIs on the design and development of financial services and products that are tailored to the diversity of financing requirements of the BPs generated by the PGs.

Activities to be financed under this sub-component will include, *inter alia*, the following:

- (i) To conduct value chain analyses, market studies, and diagnostics, and to demonstrate and pilot CSA technologies and practices in each Hot-Spot Area. This will help identify the commodities with the greatest market potential and improve the understanding of supply and demand by identifying, characterizing, and mapping producers, potential buyers, input and service providers, FIs, barriers to and lessons learnt from earlier initiatives, etc. It will also include demonstration pilots of promising and relevant CSA technologies and practices in collaboration with PGs to enable them to see the benefits at first-hand. The information thus generated will feed into the development of the business-plan based approach to promote better market linkages for PGs.
- (ii) Establish a multi-stakeholder dialogue between key actors in priority value chains. The approach and procedure to select and support PGs working on climate smart priority value chains will be developed through consultative workshops, TA, mediation services, etc. The dialogue platform will also provide a mechanism for identifying key issues, setting priorities, and coordinating actions along targeted value chains, and provide an opportunity to identify and promote internship opportunities for local youth in participating agri-businesses. This activity will also provide an avenue for the dissemination of information related to financing awarded to selected business plans, good practices and results. Successful initiatives that can be replicated, will be disseminated to share knowledge on improved/CSA production technologies and feasible business opportunities, and exposure trips for selected PG representatives. Such information will also feed into an ICT-based market information system on markets, prices, services, and financial products, technology, PGs, etc. that will be open to all value chain actors.
- (iii) **PGs to develop climate smart commercially viable business plans** (**BPs**) specifying their investment and finance needs. This activity will finance strengthening of the institutional capacity of eligible producer groups to prepare their BPs. Specialized technical assistance services will be provided by pre-selected local service providers who received targeted training in the productive partnership approach. Training will include basic business management concepts, including basic accounting and financial management; corporate governance and business plan management; and procurement.
- (iv) Financial institutions. The goal of this activity is to create sustainable business relations between PGs and FIs. To do so, this activity would support a review of existing services and financial products to the climate smart agriculture sector in the targeted Hot-Spot areas, and will propose innovative solutions and realistic pathways to facilitate and stimulate credit provision to PGs. In addition, the project will provide technical assistance on the design and development of financial services and products that are tailored to the diversity of financing needs of the eligible PGs. Specifically, the activity will carry out a capacity needs assessment of participating FIs in the area of PG credit and risk assessment, and will provide targeted support to address identified weaknesses.
- (v) **Financing Business Plans.** This will finance climate smart BPs which have been developed by PGs engaged in the Productive Partnerships. Based on a PG's business plan, investments may cover individual and collective investments such as inputs and services for CSA technologies, small-scale processing units/equipment, environmentally friendly agricultural waste management systems, small scale

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<sup>&</sup>lt;sup>4</sup>The EU is currently supporting a study of such market-linkage initiatives in Sri Lanka and the first round of results are expected in early 2018 (Nina Brandstrup, Country Director of Sri Lanka and Maldives, FAO, Personal communication, 5 Dec 2017).

renewable energy installations (solar/bio energy), specialized TA, etc. The BPs are expected to be financed through a funding mechanism tailored towards the typology of the originators (PGs, individual farmers, MSMEs). Detailed eligibility criteria for the selection of BPs will be elaborated in the PIP, but will at least include: i) a financially and technically sound business plan detailing the investment costs and financing; ii) membership profile and typology of the PGs demonstrating equitable gender and youth representation; iii) CSA technologies considered; iv) targeted markets and input/output price assumptions; v) organizational and capacity-building needs and proposed activities; vi) operational, environmental; and social risks and mitigation measures; vii) a multiannual cash projection; and viii) a profitability analysis.

#### Sub-component 2.3: Development of critical market infrastructure

This sub-component will enhance producers' profitability and incentivize the adoption of climate-smart practices and technologies by providing finance for PGs to construct/rehabilitate: (i) infrastructure for agri-commodity storage, processing, and marketing, including the construction and/or upgrading of Common Service Centers (CSCs) and wholesale and periodic rural markets. The project will finance 'productive' demand-driven investments in CSCs (small-scale aggregation facilities owned, managed and operated by PGs) based on a clear and convincing business case. The project will directly implement the corresponding activities to support a better integration of smallholders in the priority value chains and facilitate their access to market opportunities. The exact locations for these market infrastructure investments will be based on a tailored Infrastructure Inventory and Needs Assessment to ascertain a clear need or public good requirement which is not being met by other infrastructure projects currently under implementation, and consider the rural roads investments carried out under Component 1.

The investments will particularly focus on infrastructure investments complementary to business plans funded under sub-component 2.2. The Project is envisaged to support the following activities: (i) Market Infrastructure Inventory and Needs Assessment in the targeted Hot Spot Areas; (ii) Technical and environmental studies of infrastructure proposed for investment; (iii) Review of the terms of reference (TORs) of the environmental and social screening studies in line with the ESMF; and (iv) Works by specialized civil works and engineering enterprises as required. The investments are expected to be fully in line with the Hot Spot Area Development Plans elaborated under sub-component 2.1.

Component 3: Project Management (US\$ 5 million). The objective of this component is to ensure the quality of overall project management, while ensuring smooth coordination of activity implementation by various agencies and strategic partners at national and sub national levels. This component will finance (i) the operating costs of the PCU, PMUs and Provincial PMUs, District PIU, Divisional PSUs and of different project executing agencies; (ii) the monitoring and evaluation of project activities; (iii) information, education and communication campaigns to make all relevant government institutions, community members and value chain actors in the Hot-Spots, and other political administrative and potential stakeholders aware about the scope, objectives, activities, and rules of the project through local workshops and mass media outlets; (iv) ensure proper monitoring of environmental and social safeguard policies; (v) complete the baseline study and conduct impact assessments of project activities; (vi) the hiring of staff, procurement of goods and consultant services, workshops, and training; and (vii) support an independent value for money auditing and geo tagging the assets created.

Component 4: Contingent Emergency Response (US\$ 0.0 million). This emergency response component will allow for rapid reallocation of project proceeds in the event of a natural or man-made disaster or crisis that has caused or is likely to imminently cause a major adverse economic and/or social impact. To trigger this component, the Government would need to declare an emergency, a state of a disaster or provide a statement of fact justifying the request for the activation of the use of emergency funding. Examples of such crises might include drought,

severe weather events, and pests and diseases ravaging crops. No funds will be allocated to this sub-component. However, in case of emergency, funds can be re-allocated to this sub-component following a joint decision by the Bank and the GOSL. This sub-component would finance expenses on a positive list of goods, works, services and emergency operation costs required for emergency recovery.

#### 1.2 Pest and pesticide management implications of project activities

While the project is expected to bring positive environmental benefits to the project areas through the introduction and expansion of modern technology that promotes sustainable practice and applications that help improve current cropping patterns and farming methods, increase efficiency in the management of water resources, protect agriculture soils, and roll out integrated pest management. The diversification and intensification of agricultural activities under the project could to lead to changes in the application of pesticides for pest and disease control. As per the World Bank safeguard policy Pest Management (OP 4.09, this standalone Pest Management Plans (PMP) has been prepared for the project based on Integrated Pest Management (IPM) principles. The PMP describes the relevant national regulatory framework, current status of pest and disease control, monitoring and supervision mechanism, major experience and problems, and lessons learnt from past projects. It specifies a means of assessing and documenting the range of non-chemical methods used for pest management in the form of IPM across the country, the preparation of a detailed action plan and a training and monitoring program to facilitate implementation. A list of all chemicals needed for the project that meet Bank requirements, which also comply with the World Health Organization's recommended categories, have been included in the PMP. The project will not partake in the procurement of pesticides or pesticide application devices.

The following Pest Management Plan (PMP) has been prepared in order to address the concerns related to the risks associated with the envisioned possible increase in the use of pesticides that will result from project as a whole and roll out Integrated Pest Management within the project in a strategic manner, while meeting safeguards requirements.

Component 2 is expected to support commercially viable business plans and there is probability of supporting a Matching Grant Program (MGP) and sub project specific pesticide management aspects will be captured via the environmental screening and management due diligence mechanisms outlined in the Environmental Assessment and Management Framework of the project.

The following PMP also identifies stakeholders and the institutional arrangements via which it is to be implemented.

#### 1.3 Common environmental consequences of pesticide use in Sri Lanka

In all instances where pesticides-dependent pest control practices are adopted Island Wide, pesticide misuse is known to be common and results in a number of environmental consequences that can threaten the subsidence of agriculture and life itself in localities they are used. Some of the key consequences that have been eminent are listed below.

- Destruction of pollinators of crop plants leading to poor crop yields
- Elimination of the natural enemies of pests and consequent loss of natural pest control that keeps the population of crop pests very low.

- Development of pest resistance to pesticides, encouraging further increases in the use of chemical pesticides
- Contamination of the soil and water bodies
- Pesticide poisoning of farmers and deleterious effects on human health □Loss of bio-diversity in the environment, particularly of aquatic species.

Considerable attention must therefore be paid to the environmental consequences of current pest management practices adopted within the agriculture sector . Key mitigation measures are therefore required to address those concerns, these are highlighted in **Table 1** below.

Table 1-Key Mitigation Measures to be Included in the proposed PMP to combat major issues identified

Major Issues	Actions required		
-	Promote adoption of IPM practices through farmer education and training via agriculture extension services  Move farmers away from pesticide dependent pest control practices and promote use of botanical pesticides and biological controls.		
2.Change current pest management practices	Allocate adequate resources to implement National Plant Protection policy, Increase IPM awareness among policy makers and farming community		
3.IPM research and Extension	Strengthen IPM research at National level and strengthen IPM extension		
4.Environmental hazards of pesticide misuse	Create public awareness of the hazards of pesticide misuse through public awareness campaigns  Regular assessment of pesticide residuals in irrigated agricultural production systems and in harvested produce  Monitoring of pesticide poisoning in farming and rural communities		

#### 1.4 IPM Strategies in Sri Lanka

IPM was introduced as the most appropriate strategy for pest control in the agriculture policy prepared by the Government of Sri Lanka in 1995. The DOA plays a vital role in the promotion and preparation of the

country's IPM programs and are responsible for conducting research, training and transfer of technology via their existing extension services island wide. Local nongovernmental organizations such as the 'Sarvodaya Movement' also provide training on IPM practices to rural farming communities. In 1984, the DOA launched the Rice IPM Program, with the assistance of FAO. Termed the Integrated Pest Control (IPC) program, at its inception, it focused on applied research in the field and conventional approaches to extension. Demonstration plots were prepared and used to educate farmers on the various components of IPC. This included the use of resistant varieties of paddy and use of native biological controls against common pests. Over a 100,000 farmers were trained under the IPC program over a course of five years up to 1990. The IPC also used Strategic Extension Campaigns (SEC) via a national level multimedia campaign for rice IPC addressing specific issues identified during the field research stage, which was designed specifically to address. Even though the IPC program was successful at its inception subsequent evaluations on the impact of IPC on the farmers indicated that it was difficult for IPC trained farmers to arrive at correct decisions on what action to take when they were faced with pest problems in the field. They depended on extension officers for these decisions, creating a setback in its adoption.

However, in 1994 the IPC program was renewed with a revised objective. It made an emphasis on improving the quality of training with the objective of making farmers independent decision-makers for their own cultivation practices. Farmer Field Schools were established and up until 1998 and, 76 master trainers and over 300 extension officers from the government sectors and 90 officers from the private sector NGOs along with about 10,000 farmers were exposed to this approach according to the FAO. Thus this program currently runs via the extension services that run island wide. A number of donor funded projects, such as the FARM project implemented pilot Programs for Food Security has included IPM in their program and FFS as the training approach. In 1998 The Ministry of Agriculture and Lands also launched a program to increase the production of rice through large tract demonstration. This program targeted to obtain a yield of over 6 tons/ha. The government provides seed and fertilizer on loan. IPM is taught through FFS, thereby making those farmers good managers of their crop. It was post 1998 that the DOA began extending IPM practices to other field crops, predominantly to high value crops such as Chilli. At present, Research Division of DOA has developed IPM technology packages for vegetables such as bitter gourd, snake gourd, luffa, okra, brinjal, capsicum, tomato and radish. These packages have been put into practice in farmer fields in Hambanthota district during the Yala Harvesting period from 1998-2000 and yielded good results.

Support services for IPM does exists in Sri Lanka, even though a particularly planned strategy is yet not in place, Traditional IMP knowledge as well as via programs run by the DOA and other organizations farmers do use IPM practices in the field. However no studies have been conducted to deduced the extent to which IPM is practiced nationwide. The DOA documents that IPM is gaining increasing popularity among the local farming community since the adoption of experimental learning approach of FFS. The trained farmers are more knowledgeable about both the environment and agriculture. Pesticide use has changed with farmers adopting a more rational approach it its use. Follow-up studies in 1999 showed that the IPM-FFS program has created a clearly discernible impact with desirable consequences. This can be taken as a positive indicator that the DOAs attempts to implement IPM has succeeded to a certain degree and can be further strengthened.

#### 1.5 The need to document and plan out current IPM activities

At present the DOA demonstrates some IPM practices only for paddy cultivation outside the project area, details on IPM activities and potential are discusses in the following sections. IPM was

heavily promoted across the country via community driven development programs funded by donor agencies over the last decade. Extensive training Very little resources are currently invested for IPM research and development as well.

The project area spans across the country, where a range of agricultural practices are adopted based on a diverse array of agro-ecological conditions of each district. On the whole major crop species cultivated by farmers in Sri Lanka are paddy, tea, rubber coarse grains, vegetables, and fruits. Spices are included under the category of minor export crops. The main agricultural products produced, and volumes harvested annually over the last decade are presented in. Crops are grown in private plots, owned by local farmers and range between 1-2 acres.

A study conducted by the Department of Agriculture (DOA) in four major vegetable growing Districts in Sri Lanka showed that 85% of farmers in the Badulla district applied pesticides to their crops before the appearance of any pests or symptoms. In the Nuwara Eliya this was recorded at 66%. This shows that even though chemical controls are used even before pest damage has exceeded economic threshold levels and the use of pesticides as a precautionary measure has become common.

While such small scale studies have been conducted via different projects and programs, there is a dire need for a national assessment of current pesticide use mechanisms. There is a large gap in considerable information assessing the current pest management practices as well as the success of the government's current IPM program in the country on a national scale, thus the first step in the implementation of the PMP under the project will be to fill all existing knowledge gaps and undertake priority planning to be executed during the project period.

#### **Chapter 2: Pesticide Use and Management**

#### 2.1 Trends in pesticide use and pest control in Sri Lanka

Pesticides have been in use in agricultural practices in Sri Lanka since the 1950s, yet pesticides are not manufactured in Sri Lanka to date. Due to the positive trends observed via the scope and use of pesticides their import has grown over the years. All pesticides are imported as finished or formulated products or as technical grade materials for local formulation. There is very little solid statistical data available in the country to deduce the amounts and variations based on geography of pesticide use. Statistics on pesticide imports are among the few reliable indicators of quantities of pesticides used in agriculture. The DOA has conducted studies on pesticide use and attempt to monitor their use as well. In 1977, liberalized policies lead to an increase in the import of pesticides, favoring direct importation of finished products rather than intermediaries required for local formulations. According to the DOA, annual pesticide imports comprise mainly of herbicides, insecticides and fungicides and their use has shown a notable increase during the 1990s. It is clear that pesticide consumption has risen over time and continues to fluctuate with changes in planted acreage, infestation levels and other factors such as farm product prices. Herbicide consumption fluctuates around 2,300 tons per year. Insecticide consumption had increased by 25 per cent in 1999 (2,428 tons) compared to the previous year (1,942 tons), as per the data collected by the DOA. A list of banned pesticides is maintained by the DOA and made available to the public as well (Annex 1). However the DOA has not sorted and compiled different lists for herbicides.

The DOA also records that insecticide use in rice declined as a result of the Integrated Pest Management (IPM) Program, but increased on vegetables and other field crops like chili and onion. Vegetable growers most commonly depend on insecticides, typically used in heavy doses, followed by fungicides. Weedicide is not used to a great extent in vegetable production, except by farmers who cultivate onions. An array of insecticides is adopted and very little attention is paid to conforming to application frequencies, quantities and health and safety indications. Local farmers commonly misuse pesticides, mixing different varieties and striving to over application for better results, unaware that toxicity levels often increase and misuse facilitates greater environmental and health hazards. According to pesticide consumption data from 1995 to 2000, collected by the Food and Agriculture Organization (FAO), organophosphates were the highest used pesticide category within insecticides, amides in herbicides and dithiocarbamates in fungicides, within Sri Lanka.

Locally, pest control depends mostly on the use of synthetic pesticides. Ready-to-use products that can easily be procured from local vendors and applied when and where required. Abuse and misapplication of pesticides is a common phenomenon in Sri Lanka. Farmers often totally disregard recommendations and strive to indiscriminate use of pesticides based on their own experience. Some farmers do not have sufficient information and knowledge on the safe and efficient use of pesticides also. Even though many farmers are aware of the detrimental effects of pesticide use, due to the economic gains involved it still remains the most popular method of pest control. Awareness on implications to human health, the environment and crop ecosystems have still not been able to drive a strong push towards alternatives to exclusive chemical pest control, like varietal resistance and IPM. Thus awareness and transfer of technical knowledge structured over the economic benefits of green/sustainable agriculture plays a key part in altering existing trends in pesticide use and pest control.

#### 2.2 Control of pesticide use in Sri Lanka

According to the FAO continuous dependence on use of pesticides had brought a dramatic increase of imports since the enactment of the Pesticide 31 Law, from 2 309 metric tons in 1980 to 5 120 metric tons in 2003 A comprehensive pesticide control procedure is in existence within the country yet enforcement is low. The process includes; the registration of products, risk/benefit analysis, field monitoring and enforcement, laboratory testing, imports regulations and banning and restricting. Over the years, the use of 4 pesticides has been prohibited and these products have been banned. Only registered pesticides can be imported in to the country and they are also classified under the customs ordinances. A stringent process that allows only limited trial quantities of 10lites/kilograms and requirement of written approvals by relevant officials is in place. However even with controls and awareness facilitation programs are in place they are not exercised at the user level fully, the long term consequence of misuse are often overlooked.

#### 2.3 Circumstances of pesticide use and competence to handle products in agricultural areas

Presently, farmers have been noted to use pesticides more as a precautionary and/or typical practice rather than as a requirement. Types of pesticides commonly used are; Admire, Imdacloprid, Thamethofam, Acetamiprip, Sulphur and Abamecgin. All these pesticides are systemic substances. The frequency of application recommended is 3 to 4 times depending on the crop period. However consultations with local farmers indicate that frequency of pesticide application in the area exceeds the times recommended. In the long run this can create pest resistance towards pesticides.

Even though awareness programs on the handling, proper attire and safe practice associated with pesticide use is conducted via training and media campaigns, it is observed less in the field. Protective gear is expensive and not worn in most cases due to the high cost. Thus pesticide users are not sufficiently protected during use. Proper storage of pesticides is also not conducted in a safe manner. Half full pesticide bottles are often disposed at the sites or with municipal solid waste, leading to contamination of water ways. 90% of farmers use knapsack sprayers while only 10% use power sprayers. The major issue in the project area encountered in terms of pesticide application is the nozzle used. The recommended nozzle is hollow cone and most of the farmers use flat fan nozzle where outflow is doubled when compared, according to the DOA. The cost incurred for this is doubled creating a lot of environmental issues as well as toxins are directly sprayed in to the air contaminating the surrounding environments as well.

Both local electronic and print media, in collaboration with Non-Governmental Organizations (NGOs) and/or the DOA conduct campaigns to inform the public and farmers if consequences of indiscriminate use of pesticides. Technical information if disseminated via extension services and district agriculture officers as well. Demonstration programs, agricultural radio/television programs on the detrimental effects of pesticide misuse and proper means of use are conducted. There is also an increasing lobby by the public and NGOs for stringent control of pesticide use and a growing market for organic produce.

The project will focus on strengthening awareness and education via comprehensive trainings and continuous support. The project expects via its PMP to train farmers on the safe handling of pesticides, proper storage selection of appropriate application equipment, enhance farmers knowledge and understanding the hazards and risks of pesticides and safe removal of containers

etc. One of the main aims is to help strengthen the existing agricultural extension services in the project areas on pesticide management to ensure the sustainability of the existing system and that farmers have support post the project activities.

#### 2.4 Assessment of risks

Studies and data on pesticide poisoning and environmental contamination caused by pesticides are hard to come about in Sri Lanka. There are no systems in place that regularly monitor the risks associated with the use of pesticides. There are reports of health problems such a liver disorders, cancers often attributed to long term exposure to pesticides as well as lung disorders and skin disorders associated with short term exposure, recorded by local health clinics. However no validated and statistically analyzed data is available. The only quantified human health risk related data with regard to pesticides is on suicide rates. Studies have identified Sri Lanka as having one of the highest suicide rates in the world with 80% of this being attributed to Pesticides. Training that is to be provided to farming communities via this project will therefore focus some attention on risks associated with pesticide use and methods of minimizing and managing pesticide poisoning when they occur.

#### 2.5 Promoting IPM in the context of current pest control practices

A small number of farmers also use IPM but along with nominal amounts of pesticides in poly tunnels and home gardens. The project already promotes IPM as part of its environmental management practices among communities in the project area. Activities such as awareness, training and technical guidance are provided to those who partake in agriculture. According to the project IPM has been able to reduce dependency on pesticides to a small extent. It was recorded that via IPM implementation farmers were able to benefit by 25-30 % saving/profit in an acre. The prevailing situation where pesticides are readily available at nominal prices affordable to farmers encourages "unreliable quick-fix pest control approach" which very apparent throughout Sri Lanka. This also creates a major disincentive for farmers to adopt integrated pest management practices which is the most sustainable and environmentally sound strategy for pest management. Even though the government promotes IPM within the paddy agriculture, neither the Department of Agriculture nor any other organization has focused implementing IPM as a national program in vegetable and other crop production. Some farmers do have an indigenous and traditional knowledge for pest control but rarely implement these strategies. There is a general awareness on the benefits of adopting IPM but farmers require much more awareness and education in this arena as well as technical support throughout to help them make the switch in a sustainable manner.

It is proposed to encourage and introduce bio-pesticides as a part of IPM. The project expects to work closely with Department of Agriculture and Registrar of Pesticides to strengthen IPM activities in a manner that will sustain. Over the last decade extensive training on IPM and the adverse effects of pest management have been conducted via projects and programs in the community driven development sector, yet the sustainability of these initiatives are still not known fully.

#### Chapter 3: Policy, Regulatory framework and institutional capacity

#### 3.1 National Environment Act

Sri Lanka's National Environmental Act of 1980's controls the discharge and disposal of pesticides in to the environment. Based on the limits set by Australia, European Commission countries, India, Malaysia and the Codex Committee on Pesticide Residues, the maximum permitted residue levels of pesticides in food have been set by the Sri Lanka Standards Institution.

#### 3.3 Control of Pesticides Act

The Control of Pesticides Act No. 33 was enacted in 1980 for the licensing of pesticides, in order to impose controls on the pesticide industry. The regulatory framework looks at the import, packing, labeling, storage, formulation, transport, sale and use of pesticides. It also deals with the criteria for the appointment of a licensing authority for pesticides, for the establishment of a pesticide technical and advisory committee and for matters connected therewith or incidental thereto. All regulations with regard to pesticide products, including those used in agriculture, public health, domestic, industrial and veterinary etc. come under the purview of the Act.

#### 3.4 Process of pesticides control

Sri Lanka has set up a comprehensive pesticide control process which includes the registration of produces, risk/benefit analysis, field monitoring and enforcement, laboratory testing, imports regulations, and banning and restricting of pesticides. Up to 41 pesticides have banned and their use prohibited and the use of 11 insecticides has also been restricted. Support is to be provided via extension services run by DOA as well.

#### 3.5 Services Provided by Seed Certification and Plant Protection Service (SCPPC)

Currently the SCPPC of the MoA has been involved with work with regard to the control of pesticide use and promotion of IPM services. Specific areas that the SCPPC works on have been presented below. Formal tasks under taken by the Office of Pesticide Registrar as outlined below are no handled by the SCPPC.

- Introduction of integrated pest management (IPM) program to leafy vegetables
- Registration of Pesticides
- Certification for Pesticides dealers
- Certification for seller
- Field compliant on pesticides
- Awareness/ Training on Safe & effective use/handling of Pesticides
- Pesticide Analysis

#### 3.5 Services on Pesticide Registration

The former Office of Pesticide Registrar (OPR), which is now under the SCPPC was established in 1983, with the authority to set regulations and standards for pesticides in Sri Lanka. The OPR deals with a

number of complicated issues when controlling pesticides, aspects such as the use of less toxic chemicals, and the economic implications-for the country and for individual farmers when imposing limits on the availability of certain pesticides. The public health implications of the Registrar's decisions are obviously of great import. The office of the Registrar of Pesticide has the national responsibility to ensure that only pesticides of the highest quality and are least hazardous to human health and the environment are available in the local market. The following activities are those that are currently conducted by the OPR.

- 1. Registration of pesticides: A pesticide can be registered as valid for use for a period of 3 years. For re-registration, every pesticide is re-assessed based on new standards and information on safety and efficient use in relation to human health and environmental aspects.
- 2. Field enforcement: The pesticide dealer certification scheme is carried out in collaboration with the provincial field enforcement staff and the Mahaweli Authority of Sri Lanka. The certificate mandated to a particular pesticide dealer has a validation period of a year, unless otherwise cancelled for specific reasons.
- 3. Inventory of Persistent Organic Pollutants: To execute the National Implementation Plan under the Stockholm Convention, an inventory of POP was prepared in collaboration with the Ministry of Environment and Natural Resources. Although the nine pesticides designated under the convention have already been banned, adverse effects on human health and the environment could occur due to residues from past use as this group of pesticides is long persistent and bioaccumulated.
- 4. Pesticide quality: Quality of a pesticide is a major factor determining its efficacy and impact on the environment and human health. Quality pesticides should have the correct active ingredients, other adjutants and solvents with required physical and chemical standards as set out by the FAO and World Health Organization. The quality is also monitored and noted by the OPR.

#### 3.6 Pesticides Technical and Advisory Committee

The Pesticides Technical and Advisory Committee is the statutory body of the Control of Pesticides Act that makes national policy related to pesticides and assists the Registrar of pesticides on technical issues related to enforcement of the Act. This committee consists of experts and ex-officio members of relevant institutes. These members include the General of Agriculture (Chairman), Registrar of Pesticides (Secretary), Director General of Health Services, Director General Sri Lanka Standards Institute, Director General Central Environmental Authority, Commissioner of Labor (Occupational Health), Government Analyst, Director of Tea Research Institute, Director of Rubber Research Institute, Director of Coconut Research Institute, a representative of the Attorney General, and five expertise in related discipline

#### 3.7 Effectiveness of legislation

In spite of the legislations and institutional mechanisms in places, pesticides are heavily misused posing both environmental and health hazards. It is estimated that annually about 16,000 pesticides related poisonings are reported in Sri Lanka. Approximately 700,000 kilograms of pesticides are imported annually. Almost every rural grocery store has shelves full of many brands of pesticides and over 100 chemicals, including Malathion in more than 200 formulations, are sold. Liquid preparations of pesticides can be lethal in minute doses. Enforcement of these regulations and strengthening of the existing

institutional structure is essential to ensure pesticide management is conducted in a manner sustainable and the detrimental effected they have are controlled.

#### **Chapter 4: Implementation of the Pest Management Plan**

The activities proposed for implementation under the Pest Management Plan are based on the following objectives; to promote and support safe, effective, and environmentally sound pest management in agricultural interventions undertaken under the ASMP. The Plan further presents components to strengthen such capacity. The activities promotes the use of biological and environmental control methods and the reduction in reliance on synthetic chemical pesticides and they addresses pest management issues in the context of the project's key interventions.

#### 4.1 Assessment of existing situation and preparation of action plan

There is large gap inconsiderable information assessing the current pest management practices as well as the success of the government's current IPM program in the country on a national scale. Therefore, the PMP proposes that detailed assessments of the pest management practices that are currently ongoing are conducted. The results of this assessment are then to be used in the preparation of an action plan to be implemented via the SCPPC focusing on the following actions. The action plan should outline and recommend measures with regard to the following areas.

- Strengthening pest forecasting.
- Promotion Agronomic Control
- Promotion Physical Control
- Promotion of Biological Controls
- Chemical Control

The general norm of the action plan should be once pest or disease occurs in cultivation areas, agricultural control measure should be firstly considered, physical and biological measures secondly considered. Chemical drugs shall be lastly adopted only when all other control measures have failed and the pest/disease damage exceeds the economic threshold. When chemical drugs are applied, attention shall be made to select pollution-free drugs to reduce the drug resistance of the pests and avoid pollution to the environment.

The main goal of the action plan is to carry out integrated pest and disease control which is targeted to control harmful creatures, improve safety level of agri-products, protect ecological environment, and improve farmers' quality, reduce the reliance on chemical pesticides and keep the pest damage under economic limit. Its core contents are (1) Control pests but not kill pests; (2) try to use non-chemical measures to keep the pest quantity at low level; (3) when chemical drugs are unavoidable, try to keep the impact of the pesticide to environment and human being at minimum level; (4) Establish standard IPM technical system based on the local conditions, combining agricultural, biological, ecological, physical control measures and pest trapping techniques to maximally substitute or reduce the use of chemical drugs and avoid killing pest predators and environment pollution so as to keep the pest/disease damage under durable level.

In addition the action plan will also identify knowledge gaps and outline mechanisms to strengthen these gaps, in building the capacity of the SCPPC in implementing the tasks mandated to them.

This exercise will be undertaken by the project PMU simultaneously to other activities such as technical capacity building and awareness programs which will be conducted in the field to strengthen existing initiatives in Pest Management.

#### **Sectoral Guidelines Applicable to the Project**

The World Bank group's industrial sector environmental health and safety guidelines developed for the agriculture sector, present detailed guidelines on the management of pesticides within agricultural activities. The following sub-sectoral guidelines need to be followed in detail during PMP implementation and the key guidance documents for best practices.

#### 1. Perennial Crop Production

These guidelines includes information relevant to large-scale plantation crops and out grower systems and focuses on the primary production and harvesting through farming and plantation forestry of major multi-year food, fiber, energy, ornamental, and pharmaceutical crops, located in both temperate and tropical regions. It includes tree crops (such as olives, citrus, coffee, rubber, eucalypts, and cacao) as well as banana, sugarcane, and palm oil. It does not include the processing of raw materials into semi-finished and finished products.

### 2. Annual Crop production

These guidelines includes information relevant to large-scale production, harvesting, post harvesting processing and storage of major annual crops, including cereals, pulses, roots and tubers, oil-bearing crops, fiber crops, vegetables, and fodder crops, located in both temperate and tropical regions. It does not include the processing of raw materials into semi-finished and finished products.

#### All guidelines highlighted above may be downloaded via the following link:

 $http://www.ifc.org/wps/wcm/connect/topics\_ext\_content/ifc\_external\_corporate\_site/ifc+sustainability/ourleapproach/risk+management/ehsguidelines$ 

#### 4.2 Awareness creation via the preparation of strategic communication materials

Awareness creationon the ill effects of pesticides there will be done targeting applicants of the Matching Grants Program and the project areas covered under Component 2. These programs will include along with project beneficiaries, various stakeholders residing in the project area, including the community, government officers, project staff and local politicians. Awareness materials include posters, flyers, brochures, etc. These will be made available via the SCPPC and Agriculture extension offices. The following key areas will be covered via the material prepared.

- Integrated Crop Management
- Integrated diseases management
- Integrated weed management
- Health issues of pesticide application
- Safe use of agrochemicals
- Steps in integrated pest management

Awareness material developed will be technically sound, comprehensive and made legible for layman in order to disseminate the message effectively. These will be prepared in the native languages, either Tamil/Sinhala, based on the project area.

#### 4.3 Building technical capacity via training

Training of beneficiaries and relevant stakeholders on pesticide management and safe use of pesticides will be conducted with the following areas in mind. (Annex 3 presents Guidelines for Technical Training on PMP/IPM)

- Detrimental effects of pesticide use to human health/environment
- Decision making in use pesticides
- Transport, storage ,handling and distribution of pesticides
- Safe application of pesticides
- Risks on handling and use of pesticides
- Managing risks and pesticide poisoning via green mechanisms
- Intergraded Pest Management

Training programs will first be conducted among the project beneficiaries, successful applicants of the MGP, project/field staff and will also target local DOA officers, stationed in the project area. It is proposed that this program be conducted by reputed pest management specialists with experience working in Sri Lanka. This will thus provide the existing project staff with the capacity to conduct training programs in the field. Training material will be prepared comprehensively and cover the key areas highlighted prior, fashioned as a guidance book for long term use and support post training.

#### **4.4 Research and Development**

Research and innovations are important to test new IPM practices especially for vegetable and potatoes cultivations which are major crops cultivated in the project area. The DOA is yet to implement IPM practices for these crops. The project will support IPM research and development through Farmer participatory IPM research to be funded from competitive research grants that are available locally and internationally. Research opportunities can either be given to students from local universities studying agriculture or DOA staff members.

#### 4.5 Field Demonstrations

Field demonstrations are the practical way of convincing farmers on IPM practices, establishment of a Farmer Field School (FFS). FSSs can actually show farmers the successful crop yields that can be expected by IPM implementation and demonstrate user friendly mechanisms. This will assist in changing set mindsets and educate farmers on the programs, driving them to implement them as well.

#### 4.6 Proposed implementation action plan

Activity/Sub activity	Number	Timeframe	Estimated Budget (SLRs.)
Initial Assessment and preparation of national level pest management action plan	1 Report	Prior to 6months from the project effectiveness date.	500,000

1.Awareness creation and	preparation o	f communication m	naterials		
1.1National level program with participation of high level officials, including the Director General/Agriculture Department, Registrar of Pesticides, Project Director and other relevant institutional heads		Every 2 years	400,000		
1.2 Awareness program for the field level project staff and field level MoA and DoA staff and		Beginning and Midterm of project	200,000		
1.3 Preparation of communication materials on IPM, safe use of agrochemicals, risk and hazardous impacts of pesticides	1 Video 10,000 brochures		800,000		
1.4 Preparation of guidance booklet on IPM practices	01		150,000		
2.Training					
2.1 Training of project staff and MoA on IPM	4		400,000		
2.2 General awareness programs for farmers of the project area and successful applicants of the Matching Grant Program environmental impacts of pesticide application and IPM	20	5 targeted programs per annum	1,000,000		
3.Research and Development					
3.1 Study areas to be identified post the assessment	02		150,000.00		
4.Field Demonstrations					
4.1 Field demonstration on Vegetable (Farmer Field Schools)	05		200,000		
4.2 Field demonstration on Rice (Farmer Field Schools)	05		200,000		

## **4.2 Proposed Institutional Arrangement**

Project implementation will entail the creation of project management unit (PMU) at both the MoA and Deputy Project Management Units (DPMUs) in all other implementing agencies, except Ministries of

Disaster Management, Mahaweli Development and Environment and Public Administration and Home Affairs. The PMU of the MoA will take the lead in rolling out the pest management plan, due to the prior experience and mandate of the MoA of agriculture within this thematic area. The PMU and DPMUs will work in close collaboration in ensuring that all awareness program campaigns and technical trainings services are fully extended out to all relevant groups.

The Environmental Safeguard Specialist at the PMU in MoA; will be responsible for the implementation of all steps presented under the Pest Management Plan and will establish the following technical groups to facilitate the Initial Assessment and preparation of national level pest management action plan to be implemented via the SCPPC, under their current mandate as well as seek their technical guidance and concurrent in the preparation of a detailed training plan to roll out the technical training component of the PMP and on the preparation and dissemination mechanisms of awareness material.

- A Pest Management Committee (IPM Committee) will be appointed in order to monitor and implement the PMP via the PMU. The PMU IPM committee will be represented by the Environmental Safeguard Specialist of the PMU of the MoA, Environmental Safeguard Specialists of DPMUs, an Agriculture Instructor of the DOA, and key personal from the Plant protection Services of the MoA and other key MoA officials. This IPM Committee will meet once every month to review activities and make decisions with regard to PMP implementation under the sub-component 2.2. They will also review and clear documents produced for awareness, communication and
- National Level Strategic Committee The National Level Strategic committee will be
  represented by the Additional secretary of the DOA, Project Director and Deputy Directors
  and Environmental Safeguard Specialists of both the PMU and DPMUs, Registrar of Pesticides,
  Assistant Directors. The main role of the National Level Strategic Committee is to
  convergence on the best practices on IPM among stakeholders and to develop policy
  guidelines that will strengthen nationwide pest management practices.

The Environmental Safeguard Specialist at the PMU will coordinate closely with the Agriculture Sector Modernization Project, Environment Officer at MOA to include the team under the National Level Strategic Committee and conduct joint awareness building and technical training as much as possible.

#### **Chapter 5: Plan for Monitoring and Evaluation of PMP**

Successful implementation of the PMP requires regular monitoring and evaluation of activities undertaken. The focus of monitoring and evaluation will be to assess the buildup of PMP/IPM capacity in the VOs and the extent to which IPM techniques are being adopted in crop production, and the economic benefits that farmers derive by adopting IPM in the villages.

The inclusion of an IPM specialist in project supervision missions is strongly recommended.

Activities that require regular monitoring, documentation and evaluation during project supervision include the following areas in order to note the success rates of awareness programs and technical capacity building programs:

- Numbers of farmers who have successfully received IPM training in IPM methods; evaluate the training content, methodology and trainee response to training through feedback.
- In how many crop production systems is IPM applied?
- Are the numbers increasing and at what rate?
- How has the adoption of IPM improved the production performance of farmers?
- What are the major benefits that farmers derive by adopting IPM?
- Extent to which pesticides are used for crop production?
- Efficiency of pesticide use and handling
- Level of reduction of pesticide purchase and use by the PGs for crop production.
- Number of IPM sub-projects successfully funded from competitive grants
- Number of IPM participatory research projects has been completed.
- Influence of the results of IPM participatory research on implementation of IPM and crop production.
- Overall assessment of (i) activities that are going well (ii) activities that need improvements and (iii) remedial actions required.

#### Monitoring and supervision plan

During the first year of project implementation, the project Environmental Officer, based in the PMU at the MoA, will design the instruments to be used in evaluation of the activities described in the pest management plan. This will be done with the projects monitoring and evaluation team and in collaboration with World Bank environmental specialist.

# Annexes



Annex 1: List of Banned Pesticides in Sri Lanka (Source-Department of Agriculture)

Active Ingredient	CAS Registry Number	Chemical Family	Chemical Name (IUPAC)
2,4,5-T	93-76-5	phenox	2,4,5-trichlorophenoxy acetic acid
arsenic (arsenites and arsenates)	7440-38-2	inorganic	arsenic
binapacryl	485-31-4	nitrophenol	2-sec-butyl-4,6-dinitrophynyl 3methylcrotonate
bromacil	314-40-9	uracil	5-bromo-3-sec-butyl-6-methyluracil
captafol	6/1/2425	thalimide	1,2,3,6-tetrahydro-N- (1,1,2,2tetrachloroethylthio)phthalimide
chlordane	57-74-9	organochlorine	1,2,4,5,6,7,8,8-octachloro- 2,3,3alpha,4,7,7 alpha-hexahydro-4,7- methanoindene
chlorobenzilate	510-15-6	organochlorine	ethyl 4,4 -dichlorobenzilate
DDT	50-29-3	organochlorine	1,1,1-trichloro-2,2-bis (4chlorophenyl)ethane
dibromoethane (EDB)	106-93-4	-	1,2 dibromoethane
dichloropropane	542-75-6	-	1,3 dichloropropane

6.beta,6a.alpha,7.beta,7a.alpha)	dieldrin 60-57-1 organochlorine octahydro- (1a.alpha,2.beta,2a.alph	eta,2a.alpha,3.beta,
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dinoseb/dinoseb salts	88-87-7	dinitrophenol	2-sec-butyl-4,6-dinitrophenol
ethyl parathion	56-38-2	organophosphate	O,O-diethyl O-4-nitrophenyl phosphorothioate
ethylene dichloride	107-06-2	-	1,2-dichloroethane
ethylene oxide	75-21-8	epoxide	dimethylene oxide
fluoroacetamide	640-19-7	luoroacetamide	2-fluoroacetamide
HCH (mixed isomers)	608-73-1	organochlorine	hexachlorocyclohexane
heptachlor	76-44-8	organochlorine	1,4,5,6,7,8,8-heptachloro- 3alpha,4,7,7alpha-
першенног			tetrahydro-4,7-methanoindene

hexachlorobenzene (HCB)	118-74-1	organochlorine	hexachlorobenzene
	21609-90-5	organophosphate	phosphonothioic acid phenyl-O-
leptophos			(4-bromo-2,5-dichlorophenyl) O- methyl ester
lindane	58-89-9	organochlorine	1alpha,2alpha,3,b4alpha,5alpha,6B-
inidane			hexachlorocyclohexane
maleic hydrazide	123-33-1	pyridazine	6-hydroxy-2H-pyridazine-3-one
mercuric chloride	7487-94-7	inorganic	mercuric chloride
mercuric oxide	21908-53-2	inorganic	mercury(11) oxide
moroury	7439-97-6	inorganic	тогонгу
mercury	7439-97-0	morganic	mercury
mercury chloride	7546-30-7	inorganic	mercury chloride
methamidophos	10265-92-6	organophosphate	O,S-dimethyl phosphoramidothioate

methyl parathion	298-00-0	organophosphate	O,O-dimethyl O-4-nitrophenyl phosphorothioate
pentachlorophenol	87-86-5	organochlorine	pentachlorophenol
hosphamidon	13171-21-6	organophosphate	2-chloro-2-diethylcarbamoyl-1-
nospitamidon			methylvinyldimethylphosphate
quintozene (PCNB)	82-68-8	organochlorine	pentachloronitrobenzene
	72-20-8	organochlorine	2,7,3,6-dimethanonaphth-2,3-b/oxirene, 3,4,5,6,9,9-hexachloro- 1a,2,2a,3,6,6a,7,7a-
endrin			octahydro- (1a.alpha,2.beta,2a.beta,3.alpha,6.
			alpha,6a.beta,7.beta,7a.alpha)
			1,4,5,8- dimethanonaphthalene,1,2,3,4,10,10-
aldrin	309-00-2	organochlorine	hexachloro-1,4,4a,5,8,8a-hexahydro-
			(1.alpha,4.alpha,4a.beta,5.alpha,

			8.alpha,8a.beta)
mirex	2385-85-5	-	-
toxaphene	8001-35-2	organochlorine	toxaphene
aldicarb	116-06-3	carbamate	2-methyl-2- (methylthio)propionaldehyde O-methylcarbamoyloxime
chlordimeform	6164-98-3	organochlorine	N -(4-chloro-2-methylphenyl)- N,Ndimethyl-
emoramerorm	0104-90-3	organocinorine	methanimidamide
dibromochloropropane (DBCP)	96-12-8	-	1,2-dibromo-3-chloropropane
thalium sulphate	7446-18-6	inorganic	thalium sulphate

#### **Annex 2: Pesticide Classification List – World Health Organization**

## Table 1. Extremely hazardous (Class Ia) technical grade active ingredients of pesticides (common name) – not permissible in the project

Aldicarb Difethialone Parathion-methyl Brodifacoum Diphacinone Phenylmercury acetate Bromadiolone Disulfoton Phorate Bromethalin Ethoprophos Phosphamidon Calcium cyanide Flocoumafen Sodium fluoroacetate Captafol Fonofos Sulfotep Chlorethoxyfos Hexachlorobenzene Tebupirimfos Chlormephos Mercuric chloride Terbufos Chlorophacinone Mevinphos

## Table 2. Highly hazardous (Class Ib) technical grade active ingredients of pesticides (Common name) – not permissible in the project

Parathion

Difenacoum

Omethoate Acrolein Ethiofencarb Allyl alcohol Famphur Oxamyl Azinphos-ethyl Fenamiphos Oxydemeton-methyl Azinphos-methyl Flucythrinate Paris green [C] Blasticidin-S Fluoroacetamide Pentachlorophenol Butocarboxim Formetanate Pindone Butoxycarboxim Furathiocarb Pirimiphos-ethyl Cadusafos Heptenophos Propaphos Calcium arsenate Isazofos Propetamphos Carbofuran Isofenphos Sodium arsenite Chlorfenvinphos Isoxathion Sodium cyanide 3-Chloro-1,2-propanediol Strychnine Lead arsenate Coumaphos Mecarbam Tefluthrin Coumatetralyl Mercuric oxide Thallium sulfate Zeta-cypermethrin Methamidophos Thiofanox Demeton-S-methyl Methidathion Thiometon Dichlorvos Methiocarb Triazophos Dicrotophos Methomyl Vamidothion Dinoterb Monocrotophos Warfarin Edifenphos Nicotine Zinc phosphide

## Table 3. Moderately hazardous (Class II) technical grade active ingredients of pesticides (Common name) – not permissible in the project

Alanycarb Bioallethrin Chloralose Anilofos Bromoxynil Chlordane Azaconazole Bromuconazole Chlorfenapyr Azocyclotin Bronopol Chlorphonium chloride Bendiocarb Butamifos Chlorpyrifos Benfuracarb Butylamine Clomazone Bensulide Carbaryl Copper sulfate Bifenthrin Carbosulfan Cuprous oxide Bilanafos Cartap Cyanazine

Cyfluthrin Guazatine Beta-cyfluthrin Haloxyfop Cyhalothrin Heptachlor Cypermethrin Imazalil Alpha-cypermethrin Imidacloprid Cyphenothrin Iminoctadine Deltamethrin Ioxynil Diazinon Ioxynil octanoate Difenzoquat Isoprocarb Dimethoate Lambda-cyhalothrin Dinobuton Mercurous chloride Diquat Metaldehyde Endosulfan Metam-sodium Endothal-sodium Methacrifos Esfenvalerate Methasulfocarb Ethion Methyl isothiocyanate Etrimfos Metolcarb Fenazaguin Metribuzin Fenitrothion Molinate Fenobucarb Nabam Fenpropidin Naled Fenpropathrin Paraquat Fenthion Pebulate Fentin acetate Permethrin Fentin hydroxide Phenthoate Fenvalerate Phosalone Fipronil Phosmet Fluxofenim Phoxim Formothion Piperophos Fuberidazole Pirimicarb

Cyanophos

Prosulfocarb Prothiofos Pyraclofos Pyrazophos Pyrethrins Pyroquilon Quinalphos Quizalofop-p-tefuryl Rotenone Sodium fluoride Sodium hexafluorosilicate Spiroxamine Sulprofos Terbumeton Tetraconazole Thiacloprid Thiobencarb Thiocyclam Thiodicarb Triazamate Trichlorfon Tricyclazole Tridemorph Vernolate Xylylcarb

Prallethrin

Profenofos

Propoxur

Propiconazole

## Table 4. Slightly hazardous (Class III) technical grade ingredients of pesticides (Common name) – permissible under IPM

Gamma-HCH

Acephate Copper oxychloride Dimethachlor Acetochlor Cycloate Dimethametryn Acifluorfen Cyhexatin Dimethipin Alachlor Cymoxanil Dimethylarsinic acid Allethrin Cyproconazole Diniconazole Ametryn Dazomet Dinocap Amitraz Desmetrvn Diphenamid Azamethiphos Dicamba Dithianon Bensultan Dichlormid Dodine Bentazone Dichlorobenzene Empenthrin Bromofenoxim Dichlorophen Esprocarb Butroxydim Dichlorprop Etridiazole Chinomethionat Diclofop Fenothiocarb Chlormequat (chloride) Dienochlor Ferimzone Chloroacetic acid Diethyltoluamide Fluazifop-p-butyl Chlorthiamid Difenoconazole Fluchloralin Copper hydroxide Dimepiperate Flufenacet

Fluoroglycofen Methylarsonic acid Pyrifenox Flurprimidol Metolachlor Quinoclamine Flusilazole Myclobutanil Quizalofop Flutriafol 2-Napthyloxyacetic acid Resmethrin Fomesafen Nitrapyrin Sethoxydim Furalaxyl Nuarimol Simetryn Glufosinate Octhilinone Sodium chlorate N-octylbicycloheptene Hexazinone Sulfluramid Hydramethylnon dicarboximide Tebuconazole Iprobenfos Oxadixyl Tebufenpyrad Isoprothiolane Paclobutrazol Tebuthiuron Isoproturon Pendimethalin Thiram Isouron Pimaricin Tralkoxydim Malathion Triadimefon Pirimiphos-methyl MCPA-thioethyl Prochloraz Triadimenol Mecoprop Propachlor Tri-allate Mecoprop-P Propanil Triclopyr Mefluidide Propargite Triflumizole Mepiquat Pyrazoxyfen Undecan-2-one Metalaxyl Pyridaben Uniconazole Metamitron Pyridaphenthion Ziram Metconazole Pyridate

#### Table 5. Technical grade active ingredients of pesticides unlikely to present acute hazard in normal use (Common name) - permissible

Aclonifen Bromopropylate Cinosulfuron Acrinathrin Bupirimate Clofentezine Buprofezin Alloxydim Clomeprop Amitrole Butachlor Clopyralid Ammonium sulfamate Butralin Cloxyfonac Ancymidol Butylate Cryolite [C] Anthraquinone Captan Cycloprothrin Asulam Carbendazim Cyclosulfamuron Atrazine Carbetamide Cycloxydim Azimsulfuron Carboxin Cyhalofop Azoxystrobine Carpropamid Cyromazine Benalaxvl Chlomethoxyfen Daimuron Benazolin Chloramben Dalapon Benfluralin Chloransulam methyl Daminozide Benfuresate Chlorbromuron Desmedipham Benomyl Chlorfluazuron Diafenthiuron Benoxacor Chloridazon Dichlobenil Bensulfuron-methyl Chlorimuron Dichlofluanid Chlorothalonil Bifenox Diclomezine Bioresmethrin Chlorotoluron Dicloran Biphenyl Chlorpropham Diclosulam Bispyribac Chlorpyrifos methyl Diethofencarb Bitertanol Chlorsulfuron Diflubenzuron Borax Chlorthal-dimethyl Diflufenican Bromacil Chlozolinate Dikegulac Bromobutide Cinmethylin Dimefuron

Phthalide Dimethomorph Imazapyr Picloram Dimethyl phthalate Imazaguin Piperonyl butoxide Dinitramine Imazethapyr Pretilachlor Dipropyl isocinchomerate Imibenconazole Primisulfuron Dithiopyr Inabenfide Probenazole Diuron Iprodione Procymidone Dodemorph Iprovalicarb Prodiamine Ethalfluralin Isoxaben Prometon Ethephon Kasugamycin Prometryn Ethirimol Lenacil Propamocarb Ethofumesate Linuron Propaquizafop Etofenprox Maleic hydrazide Propazine Famoxadone Mancozeb Propham Fenarimol Maneb Propineb Fenbutatin oxide Mefenacet Propyzamide Fenchlorazole Mepanipyrim Pyrazolynate Fenclorim Mepronil Pyrazosulfuron Fenfuram Metazachlor Pyrimethanil Fenhexamid Methabenzthiazuron Pyriminobac Fenoxycarb Methoprene Pyriproxyfen Fenniclonil Methoxychlor Pyrithiobac sodium Fenpropimorph Methyldymron Quinclorac Fenuron Metiram Quinmerac Fenuron-TCA Metobromuron Quinoxyfen Ferbam Metosulam Ouintozene Flamprop Metoxuron Rimsulfuron Flucarbazone-sodium Metsulfuron methyl Siduron Flucycloxuron Monolinuron Simazine Flufenoxuron 2-(1-Naphthyl) acetamide Spinosad Flumetralin 1-Naphthylacetic acid Sulfometuron Flumetsulam Napropamide Sulphur Fluometuron Naptalam Tebutam Flupropanate Neburon Tecnazene Flupyrsulfuron Niclosamide Teflubenzuron Flurenol Nicosulfuron Temephos Fluridone Nitrothal-isopropyl Terbacil Flurochloridone Norflurazon Terbuthylazine Fluroxypyr Ofurace Terbutryn Fluthiacet Oryzalin Tetrachlorvinphos Flutolanil Oxabetrinil Tetradifon tau-Fluvalinate Oxadiazon Tetramethrin Folpet Oxine-copper Thiabendazole Fosamine Oxycarboxin Thidiazuron Fosetyl Oxyfluorfen Thifensulfuron-methyl Gibberellic acid Penconazole Thiophanate-methyl Glyphosate Pencycuron Tiocarbazil Hexaconazole Pentanochlor Tolclofos-methyl Hexaflumuron Phenmedipham Tolvlfluanid Hexythiazox Phenothrin Transfluthrin Hydroprene Phenylphenol Triasulfuron Hymexazol Phosphorus acid Tribenuron Trietazine Validamycin Triflusulfuron-methyl Triflumuron Triforine Vinclozolin Trifluralin Triticonazole Zine

Imazamethabenzmethyl

Dimethirimol

#### Annex 3: Guidelines for Technical Training on PMP/IPM

Training is an important approach to strengthen pest/disease management capability via IPM. According to the job division and levels of the people involved from various departments, training will be given to the technicians at provincial, city, county and township level under the training scheme. The pest/disease management training will include the following aspects:

- Periodical pest and disease control training to the technicians at county and township level, including PMP method against specific crop/pest and disease to ensure the effective implementation of pesticide management regulations.
- PMP training to farmer on pest/disease control new methods for specific crops through field school on time and on regular basis.
- Compile and distribute PMP training material. It should be written with simple words and supported by audio/video materials.
- Encourage women to participate in PMP activities

Training to farmers aims to enhance their capability of mastering the biological control skills for common pests and controlling pests and diseases in cost-effective way. The training covers how to identify the pest and diseases, how to make correct control decision and how to take appropriate preventive and control measures.

Farmers will be given training for 3-4 times during the pest control period (each time for one day and train 30-40 households at a time

#### Training should ideally cover the following area:

- morphological characteristics and identification of pests and diseases
- damage and loss from different pests and diseases
- identification of major natural enemies to the pests
- occurrence of major pests and diseases;
- field sampling and outbreak density estimation of pests;
- pest control threshold;
- pest and disease control measures, including agricultural, physical, biological and chemical control methods;
- pesticide selection and use safety skills;
- safe storage of agricultural chemicals and disposal of their packaging waste
- field survey method
- control specifications

- integrated control measures combining agricultural, physical, biological and chemical control methods, safe storage and management of pesticide and disposal of pesticide waste and packaging container
- Chemical drug application method and protection requirements during application

### Trainers should be comprised of:

- Trained agricultural technology promotion personnel
- Trained experts on Integrated pest management practices
- Demonstrators of IPM practices in the field